

BEST AVAILABLE COPY

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
18 March 2004 (18.03.2004)

PCT

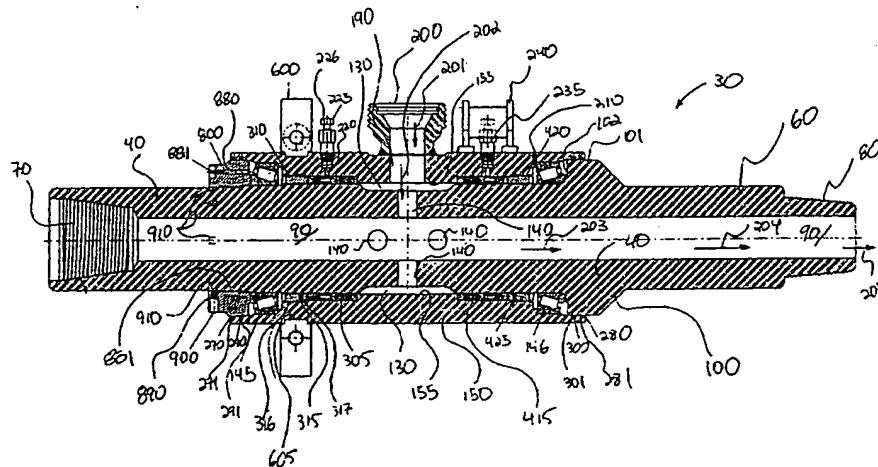
(10) International Publication Number
WO 2004/022903 A2

- (51) International Patent Classification?: E21B
- (21) International Application Number:
PCT/US2003/028341
- (22) International Filing Date:
9 September 2003 (09.09.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
60/409,177 9 September 2002 (09.09.2002) US
- (71) Applicant (for all designated States except US): TOM-AHAWK WELLHEAD & SERVICES, INC. [US/US]; 103 Ramey Road, Houma, LA 70360 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): ROBICHAUX, Kip, M. [US/US]; 312 Dean Road, Houma, LA 70363 (US). ROBICHAUX, Terry, P. [US/US]; 312 Dean Road, Houma, LA 70363 (US). JACOBS, Steve [US/US]; 312 Dean Road, Houma, LA 70363 (US). CLEMENT, Bryan

- [US/US]; 312 Dean Road, Houma, LA 70363 (US). PELLEGRIN, Murray [US/US]; 514 Douglas Drive, Houma, LA 70364 (US). CAILLOUET, Kenneth, G. [US/US]; 500 St. Charles Street, Thibodaux, LA 70301 (US). WATSON, Philip, K. [US/US]; 14 Dallas Drive, Houma, LA 70360 (US).
- (74) Agents: NORTH, Brett, A. et al.; 3 Lakeway Center, Suite 3290, 3838 North Causeway Boulevard, Metairie, LA 70002 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, EG, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK (utility model), SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),

[Continued on next page]

(54) Title: "TOP DRIVE SWIVEL APPARATUS AND METHOD"



WO 2004/022903 A2



European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

— without international search report and to be republished upon receipt of that report

TITLE

"TOP DRIVE SWIVEL APPARATUS AND METHOD"

5 INVENTORS: Kip M. ROBICHAUX, a US citizen, of Houma, Louisiana; Terry P. ROBICHAUX, a US citizen, of Houma, Louisiana; Steve JACOBS, a US citizen, of Houma, Louisiana; Bryan CLEMENT, a US citizen, of Houma, Louisiana; Murray PELLEGRIN, a US citizen, of Houma, Louisiana; Kenneth G. CAILLOUET, a US citizen, of Thibodaux, Louisiana; and Philip K. WATSON, a US citizen, of Houma,
10 Louisiana;

CROSS-REFERENCE TO RELATED APPLICATIONS

Priority of US Provisional Patent Application Serial No. 60/409,177, filed 09 September 2002, incorporated herein by reference, is hereby claimed.

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR
15 DEVELOPMENT

Not applicable

REFERENCE TO A "MICROFICHE APPENDIX"

Not applicable

BACKGROUND

20 In top drive rigs, the use of a top drive unit, or top drive power unit is employed to rotate drill pipe, or well string in a well bore. Top drive rigs can include spaced guide rails and a drive frame movable along the guide rails and guiding the top drive power unit. The travelling block supports the drive frame through a hook and swivel, and the driving block is used to lower or raise the drive frame along the guide rails. For rotating
25 the drill or well string, the top drive power unit includes a motor connected by gear means with a rotatable member both of which are supported by the drive frame.

During drilling operations, when it is desired to "trip" the drill pipe or well string into or out of the well bore, the drive frame can be lowered or raised. Additionally, during servicing operations, the drill string can be moved longitudinally into or out of
30 the well bore.

The stem of the swivel communicates with the upper end of the rotatable

member of the power unit in a manner well known to those skilled in the art for supplying fluid, such as a drilling fluid or mud, through the top drive unit and into the drill or work string. The swivel allows drilling fluid to pass through and be supplied to the drill or well string connected to the lower end of the rotatable member of the top drive power unit as the drill string is rotated and/or moved up and down.

Top drive rigs also can include elevators are secured to and suspended from the frame, the elevators being employed when it is desired to lower joints of drill string into the well bore, or remove such joints from the well bore.

At various times top drive operations, beyond drilling fluid, require various substances to be pumped downhole, such as cement, chemicals, epoxy resins, or the like. In many cases it is desirable to supply such substances at the same time as the top drive unit is rotating and/or moving the drill or well string up and/or down, but bypassing the top drive's power unit so that the substances do not damage/impair the unit. Additionally, it is desirable to supply such substances without interfering with and/or intermittently stopping longitudinal and/or rotational movement by the top drive unit of the drill or well string.

A need exists for a device facilitating insertion of various substances downhole through the drill or well string, bypassing the top drive unit, while at the same time allowing the top drive unit to rotate and/or move the drill or well string.

One example includes cementing a string of well bore casing. In some casing operations it is considered good practice to rotate the string of casing when it is being cemented in the wellbore. Such rotation is believed to facilitate better cement distribution and spread inside the annular space between the casing's exterior and interior of the well bore. In such operations the top drive unit can be used to both support and continuously rotate/intermittently reciprocate the string of casing while cement is pumped down the string's interior. During this time it is desirable to by-pass the top drive unit to avoid possible damage to any of its portions or components.

The following US Patents are incorporated herein by reference: US Patent Number 4,722,389.

While certain novel features of this invention shown and described below are pointed out in the annexed claims, the invention is not intended to be limited to the

details specified, since a person of ordinary skill in the relevant art will understand that various omissions, modifications, substitutions and changes in the forms and details of the device illustrated and in its operation may be made without departing in any way from the spirit of the present invention. No feature of the invention is critical or
5 essential unless it is expressly stated as being "critical" or "essential."

BRIEF SUMMARY

The apparatus of the present invention solves the problems confronted in the art in a simple and straightforward manner. The invention herein broadly relates to an
10 assembly having a top drive arrangement for rotating and longitudinally moving a drill or well string. In one embodiment the present invention includes a swivel apparatus, the swivel generally comprising a mandrel and a sleeve, the swivel being especially useful for top drive rigs.

The sleeve can be rotatably and sealably connected to the mandrel. The swivel
15 can be incorporated into a drill or well string and enabling string sections both above and below the sleeve to be rotated in relation to the sleeve. Additionally, the swivel provides a flow path between the exterior of the sleeve and interior of the mandrel while the drill string is being moved in a longitudinal direction (up or down) and/or being rotated/reciprocated. The interior of the mandrel can be fluidly connected to the
20 longitudinal bore of casing or drill string thus providing a path from the sleeve to the interior of the casing/drill string.

In one embodiment an object of the present invention is to provide a method and apparatus for servicing a well wherein a swivel is connected to and below a top drive unit for conveying pumpable substances from an external supply through the swivel for
25 discharge into the well string, but bypassing the top drive unit.

In another embodiment of the present invention is provided a method of conducting servicing operations in a well bore, such as cementing, comprising the steps of moving a top drive unit longitudinally and/or rotationally to provide longitudinal movement and/or rotation/reciprocation in the well bore of a well string suspended from
30 the top drive unit, rotating the drill or well string and supplying a pumpable substance to the well bore in which the drill or well string is manipulated by introducing the

pumpable substance at a point below the top drive power unit and into the well string.

In other embodiments of the present invention a swivel placed below the top drive unit can be used to perform jobs such as spotting pills, squeeze work, open formation integrity work, kill jobs, fishing tool operations with high pressure pumps, sub-sea stack testing, rotation of casing during side tracking, and gravel pack or frack jobs. In still other embodiments a top drive swivel can be used in a method of pumping loss circulation material (LCM) into a well to plug/seal areas of downhole fluid loss to the formation and in high speed milling jobs using cutting tools to address down hole obstructions. In other embodiments the top drive swivel can be used with free point indicators and shot string or cord to free stuck pipe where pumpable substances are pumped downhole at the same time the downhole string/pipe/free point indicator is being rotated and/or reciprocated. In still other embodiments the top drive swivel can be used for setting hook wall packers and washing sand.

In still other embodiments the top drive swivel can be used for pumping pumpable substances downhole when repairs/servicing is being done to the top drive unit and rotation of the downhole drill string is being accomplished by the rotary table. Such use for rotation and pumping can prevent sticking/seizing of the drill string downhole. In this application safety valves, such as TIW valves, can be placed above and below the top drive swivel to enable routing of fluid flow and to ensure well control.

The drawings constitute a part of this specification and include exemplary embodiments to the invention, which may be embodied in various forms.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

For a further understanding of the nature, objects, and advantages of the present invention, reference should be had to the following detailed description, read in conjunction with the following drawings, wherein like reference numerals denote like elements and wherein:

Figure 1 is a schematic view showing a top drive rig with one embodiment of a top drive swivel incorporated in the drill string;

Figure 2 is a schematic view of one embodiment of a top drive swivel;

Figure 3 is a sectional view of a mandrel which can be incorporated in the top

drive swivel of Figure 2;

Figure 4 is a sectional view of a sleeve which can be incorporated into the top drive swivel of Figure 2;

Figure 5 is a right hand side view of the sleeve of Figure 4;

5 Figure 6 is a sectional view of the top drive swivel of Figure 2;

Figure 6A is a sectional view of the packing unit shown in Figure 6;

Figure 6B is a top view of the packing injection ring shown in Figures 6 and 6A;

Figure 6C is a side view section of the packing injection ring shown in Figure 6B;

10 Figure 7 is a top view of a clamp which can be incorporated into the top drive swivel of Figure 2;

Figure 8 is a side view of the clamp of Figure 7;

Figure 9 is a perspective view and partial sectional view of the top drive swivel shown in Figure 2.

15

DETAILED DESCRIPTION

Detailed descriptions of one or more preferred embodiments are provided herein. It is to be understood, however, that the present invention may be embodied in various forms. Therefore, specific details disclosed herein are not to be interpreted as
20 limiting, but rather as a basis for the claims and as a representative basis for teaching one skilled in the art to employ the present invention in any appropriate system, structure or manner.

Figure 1 is a schematic view showing a top drive rig 1 with one embodiment of a top drive swivel 30 incorporated into drill string 20. Figure 1 is shows a rig 1 having
25 a top drive unit 10. Rig 1 comprises supports 16, 17; crown block 2; traveling block 4; and hook 5. Draw works 11 uses cable 12 to move up and down traveling block 4, top drive unit 10, and drill string 20. Traveling block 4 supports top drive unit 10. Top drive unit 10 supports drill string 20.

During drilling operations, top drive unit 10 can be used to rotate drill string 20
30 which enters wellbore 14. Top drive unit 10 can ride along guide rails 15 as unit 10 is moved up and down. Guide rails 15 prevent top drive unit 10 itself from rotating as top

drive unit 10 rotates drill string 20. During drilling operations drilling fluid can be supplied downhole through drilling fluid line 8 and gooseneck 6.

At various times top drive operations, beyond drilling fluid, require substances to be pumped downhole, such as cement, chemicals, epoxy resins, or the like. In many cases it is desirable to supply such substances at the same time as top drive unit 10 is rotating and/or moving drill or well string 20 up and/or down and bypassing top drive unit 10 so that the substances do not damage/impair top drive unit 10. Additionally, it is desirable to supply such substances without interfering with and/or intermittently stopping longitudinal and/or rotational movements of drill or well string 20 being moved/rotated by top drive unit 10. This can be accomplished by using top drive swivel 30.

Top drive swivel 30 can be installed between top drive unit 10 and drill string 20. One or more joints of drill pipe 18 can be placed between top drive unit 10 and swivel 30. Additionally, a valve can be placed between top drive swivel 30 and top drive unit 10. Pumpable substances can be pumped through hose 31, swivel 30, and into the interior of drill string 20 thereby bypassing top drive unit 10. Top drive swivel 30 is preferably sized to be connected to drill string 20 such as 4 ½ inch IF API drill pipe or the size of the drill pipe to which swivel 30 is connected to. However, cross-over subs can also be used between top drive swivel 30 and connections to drill string 20.

Figure 2 is a schematic view of one embodiment of a top drive swivel 30. Top drive swivel 30 can be comprised of mandrel 40 and sleeve 150. Sleeve 150 is rotatably and sealably connected to mandrel 30. Accordingly, when mandrel 40 is rotated, sleeve 150 can remain stationary to an observer insofar as rotation is concerned. As will be discussed later inlet 200 of sleeve 150 is and remains fluidly connected to a the central longitudinal passage 90 of mandrel 40. Accordingly, while mandrel 40 is being rotated and/or moved up and down pumpable substances can enter inlet 20 and exit central longitudinal passage 90 at lower end 60 of mandrel 40.

Figure 3 is a sectional view of mandrel 40 which can be incorporated in the top drive swivel 30. Mandrel 40 is comprised of upper end 50 and lower end 60. Central longitudinal passage 90 extends from upper end 50 through lower end 60. Lower end

60 can include a pin connection or any other conventional connection. Upper end 50 can include box connection 70 or any other conventional connection. Mandrel 40 can in effect become a part of drill string 20. Sleeve 150 fits over mandrel 40 and becomes rotatably and sealably connected to mandrel 40. Mandrel 40 can include shoulder 100
5 to supper sleeve 150. Mandrel 40 can include one or more radial inlet ports 140 fluidly connecting central longitudinal passage 90 to recessed area 130. Recessed area 130 preferably forms a circumferential recess along the perimeter of mandrel 40 and between packing support areas 131,132. In such manner recessed area will remain fluidly connected with radial passage 190 and inlet 200 of sleeve 150 (see Figures 4, 6).

10 To reduce friction between mandrel 40 and packing units 305, 415 (Figure 6) and increase the life expectancy of packing units 305, 415, packing support areas 131, 132 can be coated and/or sprayed welded with a materials of various compositions, such as hard chrome, nickel/chrome or nickel/aluminum (95 percent nickel and 5 percent aluminum) A material which can be used for coating by spray welding is the chrome
15 alloy Tafa 95MX Ultrahard Wire (Armacor M) manufactured by Tafa Technologies, Inc., 146 Pembroke Road, Concord New Hampshire. Tafa 95 MX is an alloy of the following composition: Chromium 30 percent; Boron 6 percent; Manganese 3 percent; Silicon 3 percent; and Iron balance. The Tafa 95 MX can be combined with a chrome steel. Another material which can be used for coating by spray welding is Tafa
20 BONDARC WIRE - 75B manufactured by Tafa Technologies, Inc. Tafa BONDARC WIRE - 75B is an alloy containing the following elements: Nickel 94 percent; Aluminum 4.6 percent; Titanium 0.6 percent; Iron 0.4 percent; Manganese 0.3 percent; Cobalt 0.2 percent; Molybdenum 0.1 percent; Copper 0.1 percent; and Chromium 0.1 percent. Another material which can be used for coating by spray
25 welding is the nickel chrome alloy TAFALOY NICKEL-CHROME-MOLY WIRE-71T manufactured by Tafa Technologies, Inc. TAFALOY NICKEL-CHROME-MOLY WIRE-71T is an alloy containing the following elements: Nickel 61.2 percent; Chromium 22 percent; Iron 3 percent; Molybdenum 9 percent; Tantalum 3 percent; and Cobalt 1 percent. Various combinations of the above alloys can also be used for the
30 coating/spray welding. Packing support areas 131, 132 can also be coated by a plating method, such as electroplating. The surface of support areas 131, 132 can be

ground/polished/finished to a desired finish to reduce friction and wear between support areas 131, 132 and packing units 305, 415.

Figure 4 is a sectional view of sleeve 150 which can be incorporated into top drive swivel 30. Figure 5 is a right hand sectional view of sleeve 150 taken along the lines 4-4. Sleeve 150 can include central longitudinal passage 180 extending from upper end 160 through lower end 170. Sleeve 150 can also include radial passage 190 and inlet 200. Inlet 200 can be attached by welding or any other conventional type method of fastening such as a threaded connection. If welded the connection is preferably heat treated to remove residual stresses created by the welding procedure. Also shown is protruding section 155 along with upper and lower shoulders 156, 157.

Lubrication port 210 can be included to provide lubrication for interior bearings. Packing ports 220, 230 can also be included to provide the option of injecting packing material into the packing units 305, 415 (see Figure 6). A protective cover 240 can be placed around packing port 230 to protect packing injector 235 (see Figure 6). Optionally, a second protective cover can be placed around packing port 220, however, it is anticipated that protection will be provided by clamp 600 and inlet 200. Sleeve 150 can include peripheral groove 205 for attachment of clamp 600. Additionally, key way 206 can be provided for insertion of a key 700. Figure 5 illustrates how central longitudinal passage 180 is fluidly connected to inlet 200 through radial passage 190. It is preferred that welding be performed using Preferred Industries Welding Procedure number T3, 1550REV-A 4140HT (285/311 bhn) RMT to 4140 HT (285/311 bhn(RMT)) It is also preferred that welds be X-ray tested, magnetic particle tested, and stress relieved.

Figure 6 is a sectional view of the assembled top drive swivel 30 of Figure 2. As can be seen sleeve 150 slides over mandrel 40. Bearings 145, 146 rotatably connect sleeve 150 to mandrel 40. Bearings 145, 146 are preferably thrust bearings although many conventionally available bearing will adequately function, including conical and ball bearings. Packing units 305, 415 sealingly connect sleeve 150 to mandrel 40. Inlet 200 of sleeve 150 is and remains fluidly connected to central longitudinal passage 90 of mandrel 40. Accordingly, while mandrel 40 is being rotated and/or moved up and down pumpable substances can enter inlet 200 and exit central longitudinal passage 90

at lower end 60 of mandrel 40. Recessed area 130 and protruding section 155 form a peripheral recess between mandrel 40 and sleeve 150. The fluid pathway from inlet 200 to outlet at lower end 60 of central longitudinal passage 90 is as follows: entering inlet 200 (arrow 201); passing through radial passage 190 (arrow 202); passing through recessed area 130 (arrow 202); passing through one of the plurality of radial inlet ports 140 (arrow 202), passing through central longitudinal passage 90 (arrow 203); and exiting mandrel 40 via lower end 60 at pin connection 80 (arrows 204, 205).

Figure 6A shows a blown up schematic view of packing unit 305. Packing unit 305 can comprise packing end 320; packing ring 330, packing ring 340, packing lubrication ring 350, packing end 360, packing ring 370, packing ring 380, packing ring 390, packing ring 400, and packing end 410. Packing unit 305 sealing connects mandrel 40 and sleeve 150. Packing unit 305 can be encased by packing retainer nut 310 and shoulder 156 of protruding section 155. Packing retainer nut 310 can be a ring which threadably engages sleeve 150 at threaded area 316. Packing retainer nut 310 and shoulder 156 squeeze packing unit 305 to obtain a good seal between mandrel 40 and sleeve 150. Set screw 315 can be used to lock packing retainer nut 310 in place and prevent retainer nut 310 from loosening during operation. Set screw 315 can be threaded into bore 314 and lock into receiving area 317 on sleeve 150. Packing unit 415 can be constructed substantially similar to packing unit 305. The materials for packing unit 305 and packing unit 415 can be similar.

Packing end 320 is preferably a bronze female packing end. Packing ring 330 is preferably a "Vee" packing ring -- Teflon such as that supplied by CDI part number 0500700-VS-720 Carbon Refflon (having 2 percent carbon). Packing ring 340 is preferably a "Vee" packing ring -- Rubber such as that supplied by CDI part number 0500700-VS-850NBR Aramid. Packing lubrication ring 350 is described below in the discussion regarding Figures 6B and 6C. Packing end 360 preferably a bronze female packing end. Packing ring 370 is preferably a "Vee" packing ring -- Teflon such as that supplied by CDI part number 0500700-VS-720 Carbon Refflon (having 2 percent carbon). Packing ring 380 is preferably a "Vee" packing ring -- Rubber such as that supplied by CDI part number 0500700-VS-850NBR Aramid. Packing ring 390 is preferably a "Vee" packing ring -- Teflon such as that supplied by CDI part number

0500700-VS-720 Carbon Reflon (having 2 percent carbon). Packing ring 400 is preferably a "Vee" packing ring - - Rubber such as that supplied by CDI part number 0500700-VS-850NBR Aramid. Packing end 410 is preferably a bronze male packing ring. Various alternative materials for packing rings can be used such as standard
5 chevron packing rings of standard packing materials. Bronze rings preferably meet or exceed an SAE 660 standard.

A packing injection option can be provided for top drive swivel 30. Injection fitting 225 can be used to inject additional packing material such as teflon into packing unit 305. Head 226 for injection fitting 225 can be removed and packing material can
10 then be inserting into fitting 225. Head 226 can then be screwed back into injection fitting 225 which would push packing material through fitting 225 and into packing port 220. The material would then be pushed into packing ring 350. Packing ring 350 can comprise radial port 352 and transverse port 351. The material would proceed through radial port 352 and exit through transverse port 351. The material would tend to push
15 out and squeeze packing rings 340, 330, 320 and packing rings 360, 370, 380, 390, 400 tending to create a better seal between packing unit 305 with mandrel 40 and sleeve 150. The interaction between injection fitting 235 and packing unit 415 can be substantially similar to the interaction between injection fitting 225 and packing unit 305. A conventionally available material which can be used for packing injection
20 fittings 225, 235 is DESCO™ 625 Pak part number 6242-12 in the form of a 1 inch by 3/8 inch stick and distributed by Chemola Division of South Coast Products, Inc., Houston, Texas. In Figure 6, injection fitting 235 is shown ninety degrees out of phase and, is preferably located as shown in Figure 9.

Injection fittings 225, 235 have a dual purpose: (a) provide an operator a visual
25 indication whether there has been any leakage past either packing units 305, 415 and (b) allow the operator to easily inject additional packing material and stop seal leakage without removing top drive swivel 30 from drill string 20.

Figures 6B and 6C shows top and side views of packing injection ring 350. Packing injection ring 350 includes a male end 355 at its top and a flat end 356 at its
30 rear. Ring 350 includes peripheral groove 353 around its perimeter. Optionally, ring 350 can include interior groove along its interior. A plurality of transverse ports 351,

351', 351'', 351'''', etc. extending from male end 355 to flat end 356 can be included and can be evenly spaced along the circumference of ring 350. A plurality of radial ports 352, 352', 352'', 352'''', etc. can be included extending from peripheral groove 353 and respectively intersecting transverse ports 351, 351', 351'', 351'''', etc. Preferably, the
5 radial ports can extend from peripheral groove 353 through interior groove 354.

Retainer nut 800 can be used to maintain sleeve 150 on mandrel 40. Retainer nut 800 can threadably engage mandrel 40 at threaded area 801. Set screw 890 can be used to lock in place retainer nut 800 and prevent nut 800 from loosening during operation. Set screw 890 threadably engages retainer nut 800 through bore 900 and sets
10 in one of a plurality of receiving portions 910 formed in mandrel 40. Retaining nut 800 can also include grease injection fitting 880 for lubricating bearing 145. Wiper ring 271 set in area 270 protects against dirt and other items from entering between the sleeve 150 and mandrel 40. Grease ring 291 set in area 290 holds in lubricant for bearing 145.

15 Bearing 146 can be lubricated through grease injection fitting 211 and lubrication port 210. Bearing 145 can be lubricated through grease injection fitting 881 and lubrication port 880.

Figure 7 is a top view of clamp 600 which can be incorporated into top drive swivel 30. Figure 8 is a side view of clamp 600. Clamp 600 comprises first portion 610 and second portion 620. First and second portions 610, 620 can be removably attached
20 by fasteners 670, 680. Clamp 600 fits in groove 605 of sleeve 150 (Figure 6). Key 700 can be included in keyway 690. A corresponding keyway 691 is included in sleeve 150 of top drive swivel 30. Keyways 690, 691 and key 700 prevent clamp 600 from rotating relative to sleeve 150. A second key 720 can be installed in keyways 710, 711.

25 Shackles 650, 660 can be attached to clamp 600 to facilitate handling top drive swivel 30 when clamp 600 is attached. Torque arms 630, 640 can be included to allow attachment of clamp 600 (and sleeve 150) to a stationary part of top drive rig 1 and prevent sleeve 150 from rotating while drill string 20 is being rotated by top drive 10 (and top drive swivel 30 is installed in drill string 20). Torque arms 630, 640 are
30 provided with holes for attaching restraining shackles. Restrained torque arms 630, 640 prevent sleeve 150 from rotating while mandrel 40 is being spun. Otherwise, frictional

forces between packing units 305, 415 and packing support areas 131, 135 of rotating mandrel 40 would tend to also rotate sleeve 150. Clamp 600 is preferably fabricated from 4140 heat treated steel being machined to fit around sleeve 150.

Figure 9 is an overall perspective view (and partial sectional view) of top drive swivel 30. Sleeve 150 is shown rotatably connected to mandrel 40. Bearings 145, 146 allow sleeve 150 to rotate in relation to mandrel 40. Packing units 305, 415 sealingly connect sleeve 150 to mandrel 40. Retaining nut 800 retains sleeve 150 on mandrel 40. Inlet 200 of sleeve 150 is fluidly connected to central longitudinal passage 90 of mandrel 40. Accordingly, while mandrel 40 is being rotated and/or moved up and down pumpable substances can enter inlet 200 and exit central longitudinal passage 90 at lower end 60 of mandrel 40. Recessed area 130 and protruding section 155 form a peripheral recess between mandrel 40 and sleeve 150. The fluid pathway from inlet 200 to outlet at lower end 60 of central longitudinal passage 90 is as follows: entering inlet 200; passing through radial passage 190; passing through recessed area 130; passing through one of the plurality of radial inlet ports 40; passing through central longitudinal passage 90; and exiting mandrel 40 through central longitudinal passage 90 at lower end 60 and pin connection 80. In Figure 9, injection fitting 225 is shown ninety degrees out of phase and, for protection, is preferably located between inlet 200 and clamp 600.

Mandrel 40 takes substantially all of the structural load from drill string 20. The overall length of mandrel 40 is preferably 52 and 5/16 inches. Mandrel 40 can be machined from a single continuous piece of heat treated steel bar stock. NC50 is preferably the API Tool Joint Designation for the box connection 70 and pin connection 80. Such tool joint designation is equivalent to and interchangeable with 4 1/2 inch IF (Internally Flush), 5 inch XH (Extra Hole) and 5 1/2 inch DSL (Double Stream Line) connections. Additionally, it is preferred that the box connection 70 and pin connection 80 meet the requirements of API specifications 7 and 7G for new rotary shouldered tool joint connections having 6 5/8 inch outer diameter and a 2 3/4 inch inner diameter. The Strength and Design Formulas of API 7G -Appendix A provides the following load carrying specification for mandrel 40 of top drive swivel 30: (a) 1,477 pounds tensile load at the minimum yield stress; (b) 62,000 foot-pounds torsion load at the minimum torsional yield stress; and (c) 37,200 foot-pounds recommended

minimum make up torque. Mandrel 40 can be machined from 4340 heat treated bar stock.

Sleeve 150 is preferably fabricated from 4140 heat treated round mechanical tubing having the following properties: (120,000 psi minimum tensile strength, 100,000
 5 psi minimum yield strength, and 285/311 Brinell Hardness Range). The external diameter of sleeve 150 is preferably about 11 inches. Sleeve 150 preferably resists high internal pressures of fluid passing through inlet 200. Preferably top drive swivel 30 with sleeve 150 will withstand a hydrostatic pressure test of 12,500 psi. At this pressure the stress induced in sleeve 150 is preferably only about 24.8 percent of its material's
 10 yield strength. At a preferable working pressure of 7,500 psi, there is preferably a 6.7:1 structural safety factor for sleeve 150.

To minimize flow restrictions through top drive swivel 30, large open areas are preferred. Preferably each area of interest throughout top drive swivel 30 is larger than the inlet service port area 200. Inlet 200 is preferably 3 inches having a flow area of
 15 4.19 square inches. The flow area of the annular space between sleeve 150 and mandrel 40 is preferably 20.81 square inches. The flow area through the plurality of radial inlet ports 140 is preferably 7.36 square inches. The flow area through central longitudinal bore 90 is preferably 5.94 square inches.

The following is a list of reference numerals:

20

LIST FOR REFERENCE NUMERALS

	(Part No.)	(Description)
	<hr/>	
	<u>Reference Numeral</u>	<u>Description</u>
25	1	rig
	2	crown block
	3	cable means
	4	travelling block
	5	hook
30	6	gooseneck
	7	swivel

	8	drilling fluid line
	10	top drive unit
	11	draw works
	12	cable
5	13	rotary table
	14	well bore
	15	guide rail
	16	support
	17	support
10	18	drill pipe
	19	drill string
	20	drill string or work string
	30	swivel
	31	hose
15	40	swivel mandrel
	50	upper end
	60	lower end
	70	box connection
	80	pin connection
20	90	central longitudinal passage
	100	shoulder
	101	outer surface of shoulder
	102	upper surface of shoulder
	110	interior surface
25	120	external surface (mandrel)
	130	recessed area
	131	packing support area
	132	packing support area
	140	radial inlet ports (a plurality)
30	145	bearing (preferably combination 6.875 inch bearing cone, Timken Part number

		67786, and 9.75 inch bearing cup bearing cup, Timken part number 67720)
	146	bearing (preferably combination 7 inch bearing cone, Timken Part number 67791, and 9.75 inch bearing cup bearing cup, Timken part number 67720)
5		
	150	swivel sleeve
	155	protruding section
	156	shoulder
10	157	shoulder
	158	packing support area
	159	packing support area
	160	upper end
	170	lower end
15	180	central longitudinal passage
	190	radial passage
	200	inlet
	201	arrow
	202	arrow
20	203	arrow
	204	arrow
	205	peripheral groove
	206	key way
	210	lubrication port
25	211	grease injection fitting (preferably grease zerk (1/4 - 28 td. in. streight, mat.-monel Alemite part number 1966-B)
	220	packing port
	225	injection fitting(preferably packing injection fitting (10,000 psi) Vesta - PGI Manufacturing part number PF10N4-
30		

		10)(alternatively Pressure Relief Tool for packing injection fitting Vesta - PGI Manufacturing part number PRT -PIF 12-20)
5	226	head
	230	packing port
	235	injection fitting (preferably packing injection fitting (10,000 psi) Vesta - PGI Manufacturing part number PF10N4-
10		10)(alternatively Pressure Relief Tool for packing injection fitting Vesta - PGI Manufacturing part number PRT -PIF 12-20)
	240	cover
15	250	upper shoulder
	260	lower shoulder
	270	area for wiper ring
	271	wiper ring (preferably Parker part number 959-65)
20	280	area for wiper ring
	281	wiper ring (preferably Parker part number 959-65)
	290	area for grease ring
	291	grease ring (preferably Parker part number 2501000 Standard Polypak)
25		
	300	area for grease ring
	301	grease ring (preferably Parker part number 2501000 Standard Polypak)
	305	packing unit
30	310	packing retainer nut
	314	bore for set screw

	315	set screw for packing retainer nut
	316	threaded area
	317	set screw for receiving area
	320	packing end
5	330	packing ring
	340	packing ring
	350	packing injection ring
	351	transverse port
	352	radial port
10	353	peripheral groove
	354	interior groove
	355	male end
	356	flat end
	360	packing end
15	370	packing ring
	380	packing ring
	390	packing ring
	400	packing ring
	410	packing end
20	415	packing unit
	420	packing retainer nut
	425	set screw for packing retainer nut
	430	packing end
	440	packing ring
25	450	packing ring
	460	packing lubrication ring
	470	packing end
	480	packing ring
	490	packing ring
30	500	packing ring
	510	packing ring

	520	packing end
	600	clamp
	605	groove
	610	first portion
5	620	second portion
	630	torque arm
	640	torque arm
	650	shackle
	660	shackle
10	670	fastener
	680	fastener
	690	keyway
	691	keyway
	700	key
15	710	keyway
	711	keyway
	720	key
	730	peripheral groove
	800	retaining nut
20	801	threaded area
	810	outer surface
	820	inclined portion
	830	bore
	840	inner surface
25	850	threaded portion
	860	upper surface
	870	bottom surface
	880	lubrication port
30	881	grease injection fitting (preferably grease zerk (1/4 - 28 td. in. streight, mat.-monel Alemite part number 1966-B)

890	set screw
900	bore for set screw
910	receiving portion for set screw

5

All measurements disclosed herein are at standard temperature and pressure, at sea level on Earth, unless indicated otherwise. All materials used or intended to be used in a human being are biocompatible, unless indicated otherwise.

10 It will be understood that each of the elements described above, or two or more together may also find a useful application in other types of methods differing from the type described above. Without further analysis, the foregoing will so fully reveal the gist of the present invention that others can, by applying current knowledge, readily adapt it for various applications without omitting features that, from the standpoint of
15 prior art, fairly constitute essential characteristics of the generic or specific aspects of this invention set forth in the appended claims. The foregoing embodiments are presented by way of example only; the scope of the present invention is to be limited only by the following claims.

CLAIMS

1. A top drive swivel insertable into a drill or work string comprising:
 - (a) a mandrel having upper and lower end sections and connected to and rotatable with upper and lower drill or work string sections, the mandrel including a longitudinal passage forming a continuation of a passage in the drill or work string sections;
 - (b) a sleeve having a longitudinal sleeve passage, the sleeve being rotatably connected to the mandrel by a pair of longitudinally spaced bearings;
 - (c) a seal between upper and lower end portions of the mandrel and sleeve, the seal preventing leakage of fluid between the mandrel and sleeve;
 - (d) the sleeve comprising an inlet port positioned between the spaced bearings; and
 - (e) the mandrel comprising a plurality of longitudinally spaced apart radial ports in fluid communication with both the inlet port and the longitudinal passage to supply pressurized fluid from the inlet port to the longitudinal passage and in the passage in drill or work string sections.
2. The top drive swivel of claim 1, wherein the mandrel and sleeve further comprise a peripheral recess, the peripheral recess being located between the longitudinally spaced bearings and being in fluid communication with the inlet port and the plurality of spaced apart radial inlet ports.
3. The top drive swivel of claim 1, wherein the mandrel further comprises a shoulder and a retaining nut threadably engaging the mandrel, the shoulder and retaining nut being spaced apart, the sleeve being positioned between the spaced apart shoulder and retaining nut.
4. The top drive swivel of claim 1, wherein the seal further comprises a pair of spaced apart packing units; the sleeve further comprises a protruding section in the longitudinal sleeve passage, each of the packing units being located on opposite sides of the protruding section.

5. The top drive swivel of claim 3, wherein the retaining nut further comprises a set screw threadably engaging the retaining nut, and wherein the mandrel further comprises a plurality of receiving portions, the set engaging one of the receiving portions and locking the retainer nut in position on the mandrel.

5 6. The top drive swivel of claim 3, wherein the retaining nut further comprises a lubrications port, the lubrication port being positioned to lubricate at least one of the spaced bearings.

7. The top drive swivel of claim 1, wherein the plurality of longitudinally
10 spaced apart radial ports are also circumferentially spaced.

8. The top drive swivel of claim 1, wherein the longitudinally spaced bearings comprise roller bearings inclined in relation to a longitudinal axis of the mandrel.

15

9. A top drive swivel incorporated into a well string rotatably driven by a top drive unit, the swivel comprising:

(a) a rotatable mandrel having an axial flow passage therethrough, the mandrel having upper and lower ends connected with sections of the well string for rotation
20 therewith, and

(b) a sleeve extending peripherally around the mandrel and rotatably connected to the mandrel by support bearings and seals interconnecting end portions of the mandrel and sleeve,

(c) an inlet in the sleeve for connection with a high pressure fluid source,

25 (d) a plurality of ports in the mandrel in communication with the sleeve inlet port for introducing high pressure fluid into the axial flow passage in the mandrel and into the well string,

(e) the mandrel including a peripheral recess area aligned with the inlet port in the sleeve and in communication with the plurality of ports in the mandrel and the axial
30 flow passage.

10. A top drive swivel insertable into a drill or work string comprising:
- (a) a mandrel having upper and lower end sections and connected to and rotatable with upper and lower drill or work string sections, the mandrel including a longitudinal passage forming a continuation of a passage in the drill or work string sections;
 - (b) a sleeve having a longitudinal sleeve passage, the sleeve being rotatably connected to the mandrel by a pair of longitudinally spaced bearings;
 - (c) a seal between upper and lower end portions of the mandrel and sleeve, the seal preventing leakage of fluid between the mandrel and sleeve;
 - (d) the sleeve comprising an inlet port positioned between the spaced bearings;
 - (e) the mandrel comprising a plurality of spaced apart radial ports in fluid communication with both the inlet port and the longitudinal passage to supply pressurized fluid from the inlet port to the longitudinal passage and in the passage in drill or work string sections; and
 - (f) a clamp, the clamp being detachably connected to the sleeve.
11. The top drive swivel of claim 10, wherein the sleeve further comprise a peripheral groove, the clamp fitting in the groove, and wherein the clamp comprises first and second portions, the first and second portions being detachably connectable to each other.
12. The top drive swivel of claim 11, wherein the clamp and sleeve further comprise a key, the key fitting between the clamp and sleeve and restricting relative rotational movement between the clamp and sleeve.
13. The top drive swivel of claim 12, wherein the clamp and sleeve further comprise a second key, the second key fitting between the clamp and sleeve.
14. The top drive swivel of claim 11, wherein at least one bolt detachably connects the first and second portions.
15. The top drive swivel of claim 10, wherein the clamp further comprises a plurality of shackles connected to the clamp.
16. The top drive swivel of claim 10, wherein the clamp further comprises at least one torque arm connected to the clamp.

17. The top drive swivel of claim 16, wherein the clamp comprises two torque arms.

18. The top drive swivel of claim 11, wherein the first and second portions of the clamp are each in the shape of a half moon.

5

19. A top drive swivel insertable into a drill or work string comprising:

(a) a mandrel having upper and lower end sections and connected to and rotatable with upper and lower drill or work string sections, the mandrel including a longitudinal passage forming a continuation of a passage in the drill or work string sections;

10

(b) a sleeve having a longitudinal sleeve passage, the sleeve being rotatably connected to the mandrel by a pair of longitudinally spaced bearings;

(c) a seal between upper and lower end portions of the mandrel and sleeve, the seal preventing leakage of fluid between the mandrel and sleeve;

15

(d) the sleeve comprising an inlet port positioned between the spaced bearings;

(e) the mandrel comprising a plurality of spaced apart radial ports in fluid communication with both the inlet port and the longitudinal passage to supply pressurized fluid from the inlet port to the longitudinal passage and in the passage in drill or work string sections; and

20

(f) a packing port, the packing port being in communication with the seal and allowing the insertion of additional packing material into the seal.

20. The top drive swivel of claim 19, wherein the seal further comprises a pair of spaced apart packing units one of which being in communication with the packing port, and wherein the swivel further comprises a second packing port, the second packing port being in communication the packing unit not in communication with the packing port, the second packing port allowing the insertion of additional packing material.

25

21. The top drive swivel of claim 20, wherein each packing unit further comprises a packing injection ring, each packing ring being in communication with a packing port.

30

22. The top drive swivel of claim 21, wherein each packing injection ring

comprises a plurality of radial ports spaced circumferentially around each packing injection ring, and a plurality of transverse ports, each transverse port intersecting one of the plurality of radial ports.

5 23. The top drive swivel of claim 22, wherein each radial port terminates at its intersection with its respective transverse port.

24. The top drive swivel of claim 22, wherein each radial port extends through the packing injection ring.

25. The top drive swivel of claim 21, wherein each packing injection ring comprises a peripheral groove.

10 26. The top drive swivel of claim 25, wherein each packing injection ring comprises an interior groove.

27. The top drive swivel of claim 25, wherein each packing injection ring comprises a male end and a flat end, the male end being opposed to the flat end, and the transverse ports running between the male and flat ends.

15 28. The top drive swivel of claim 22, wherein there are eight radial ports and eight transverse ports equally spaced around each packing injection ring.

29. The top drive swivel of claim 19, further comprising an injection fitting connected to the packing injection port.

20 30. The top drive swivel of claim 20, further comprising first and second injection fittings connected to the two injection ports.

31. The top drive swivel of claim 20, further comprising first and second pressure relief fittings connected to the two injection ports.

32. The top drive swivel of claim 20, further comprising a cover, the cover being placed around one of the two injection ports.

25 33. The top drive swivel of claim 32, the swivel further comprising a clamp, the clamp connected to the sleeve, and wherein one injection port is located between the clamp and the sleeve inlet.

30 34. A top drive swivel insertable into a drill or work string comprising:
(a) a mandrel having upper and lower end sections and connected to and rotatable with upper and lower drill or work string sections, the mandrel including a

longitudinal passage forming a continuation of a passage in the drill or work string sections;

(b) a sleeve having a longitudinal sleeve passage, the sleeve being rotatably connected to the mandrel by a pair of longitudinally spaced bearings;

5 (c) a pair of spaced apart packing units between upper and lower end portions of the mandrel and sleeve, the packing units preventing leakage of fluid between the mandrel and sleeve;

(d) the sleeve comprising an inlet port positioned between the spaced bearings;

(e) the mandrel comprising a plurality of spaced apart radial ports in fluid
10 communication with both the inlet port and the longitudinal passage to supply pressurized fluid from the inlet port to the longitudinal passage and in the passage in drill or work string sections; and

(f) wherein each of the packing units comprise a plurality of packing rings, at least one packing ring in each packing unit being comprised of a mixture of teflon and
15 carbon and at least one other packing ring in each packing unit being comprised of aramid.

35. The top drive swivel of claim 34, wherein each packing unit comprises six packing rings, three being comprised of a mixture of teflon and carbon and three being comprised of aramid.

20 36. The top drive swivel of claim 35, wherein each packing unit further comprises a first female packing end, a second female packing end, and a male packing end.

37. The top drive swivel of claim 34, wherein no rings of similar composition are placed adjacent each other.

25 38. The top drive swivel of claim 36, wherein each packing unit further comprises a packing injection ring.

39. The top drive swivel of claim 38, wherein the rings and ends of each packing unit are arranged as follows: female packing end, ring comprised of a mixture of teflon and carbon, ring comprised of aramid, packing injection ring, female packing
30 end, ring comprised of a mixture of teflon and carbon, ring comprised of aramid, ring comprised of a mixture of teflon and carbon, ring comprised of aramid, and male

packing injection end.

40. The top drive swivel of claim 34, further comprising a pair of packing retainer nuts threadably engaging the sleeve, each packing retainer nut engaging one of the packing units.

5 41. The top drive swivel of claim 40, wherein the sleeve further comprises a protruding section, the protruding section engaging each of the packing units.

42. A top drive swivel insertable into a drill or work string comprising:

(a) a mandrel having upper and lower end sections and connected to and rotatable with upper and lower drill or work string sections, the mandrel including a
10 longitudinal passage forming a continuation of a passage in the drill or work string sections;

(b) a sleeve having a longitudinal sleeve passage, the sleeve being rotatably connected to the mandrel by a pair of longitudinally spaced bearings;

(c) a pair of spaced apart packing units between upper and lower end portions
15 of the mandrel and sleeve, the packing units preventing leakage of fluid between the mandrel and sleeve;

(d) the sleeve comprising an inlet port positioned between the spaced bearings;

(e) the mandrel comprising a plurality of spaced apart radial ports in fluid communication with both the inlet port and the longitudinal passage to supply
20 pressurized fluid from the inlet port to the longitudinal passage and in the passage in drill or work string sections; and

(f) a spray welding coating on the mandrel, at least on the areas engaging the packing units, the spray welding coating comprising chromium, manganese, silicon; and iron.

25 43. The top drive swivel of claim 42, wherein the spray coating comprises chromium 30 percent; boron 6 percent; manganese 3 percent; silicon 3 percent; and iron balance.

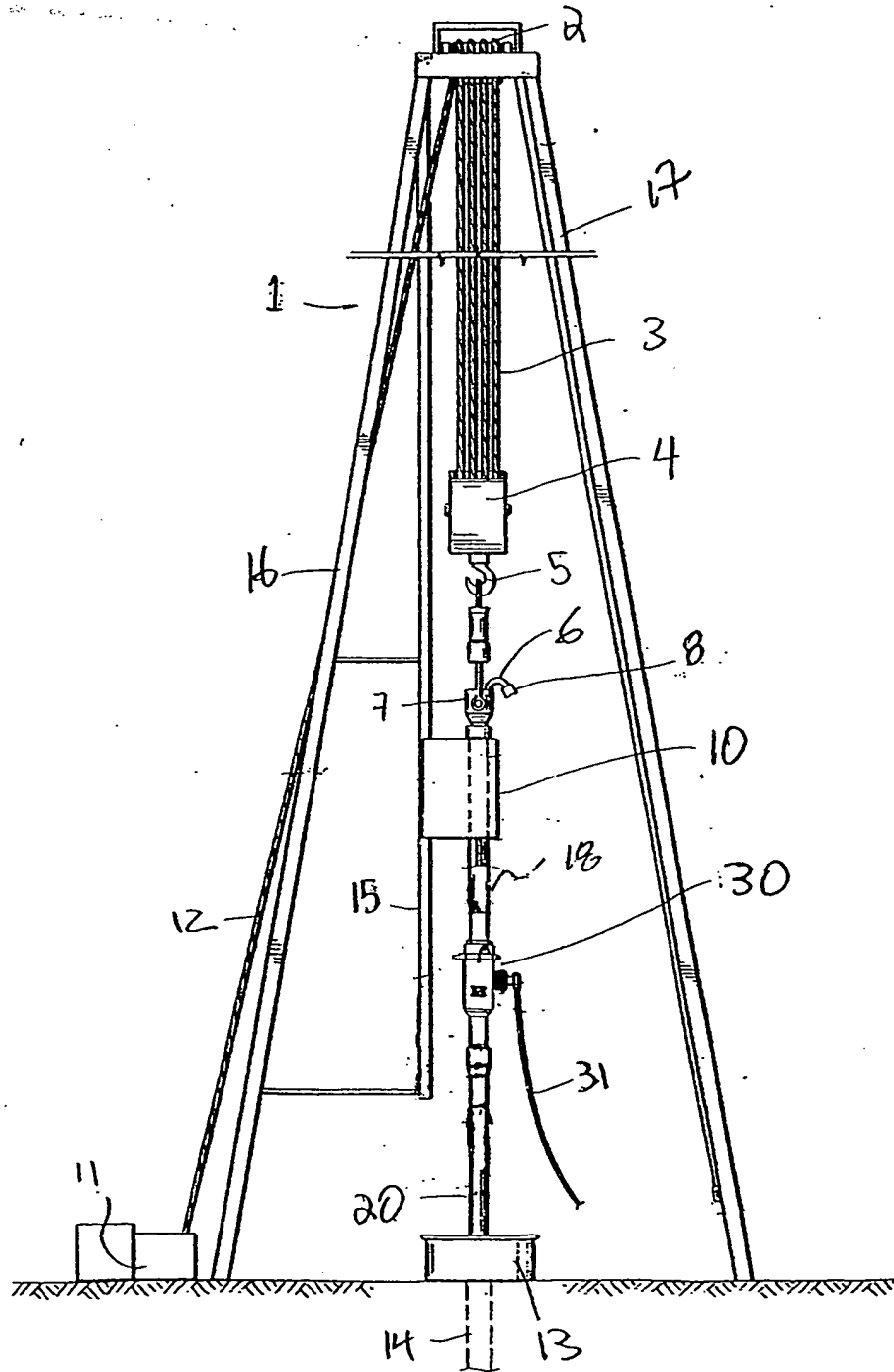
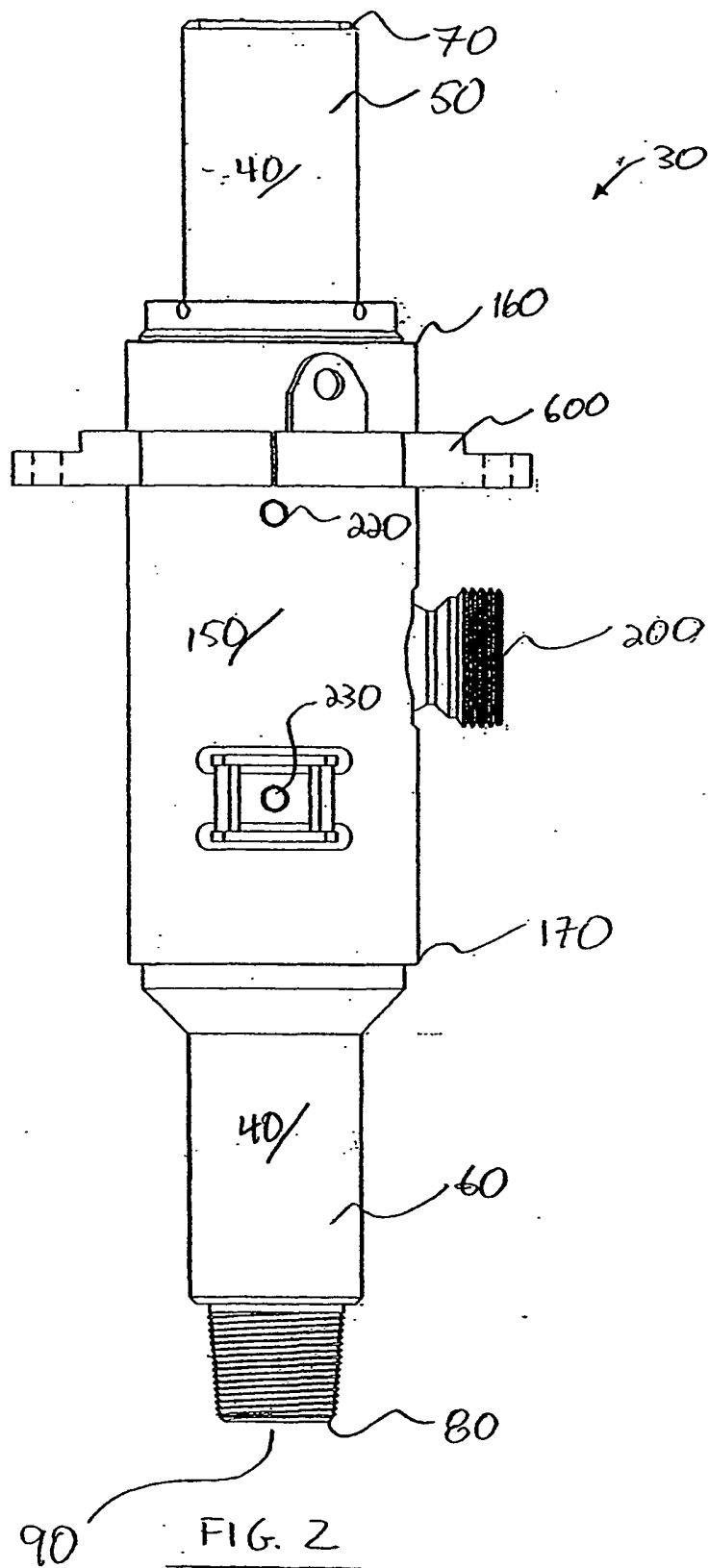


FIG. 1



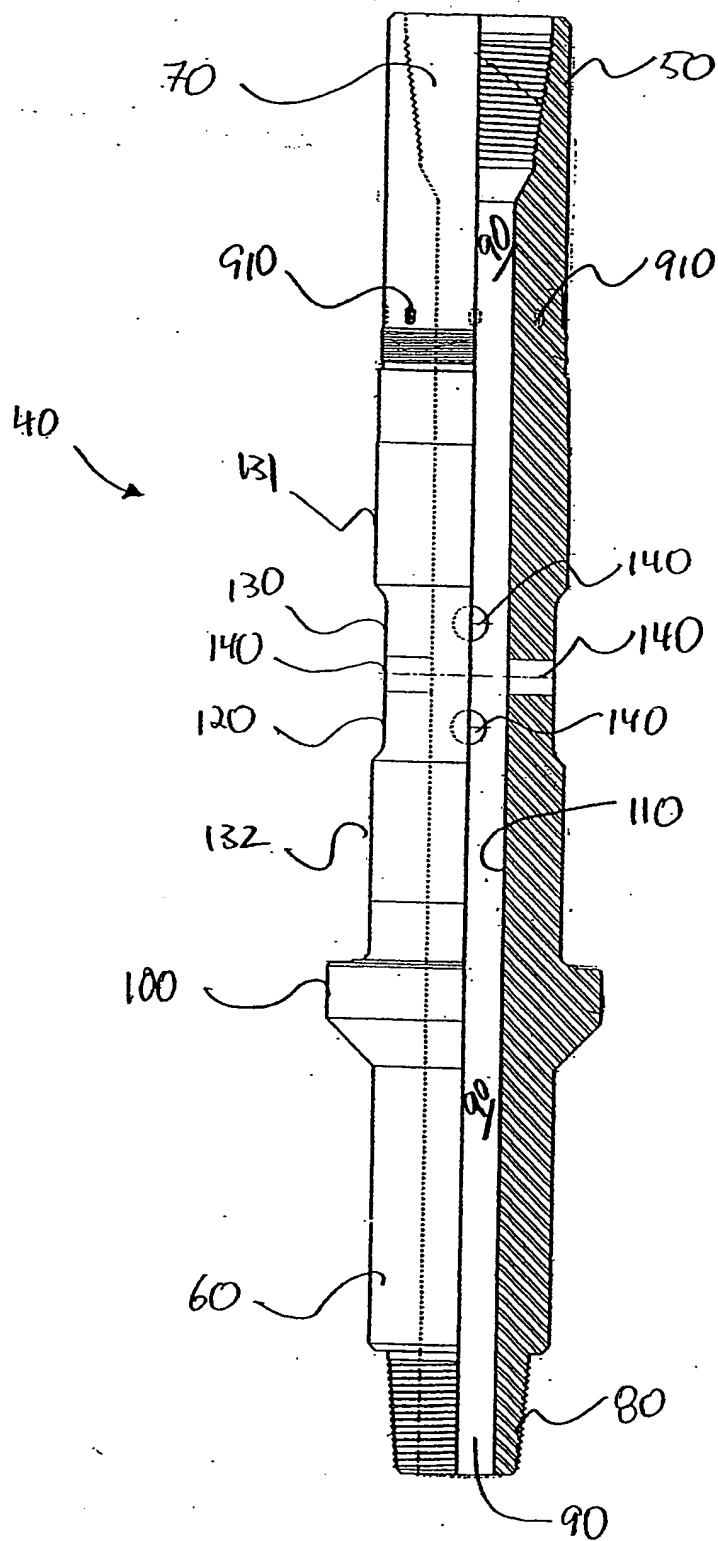


FIG. 3

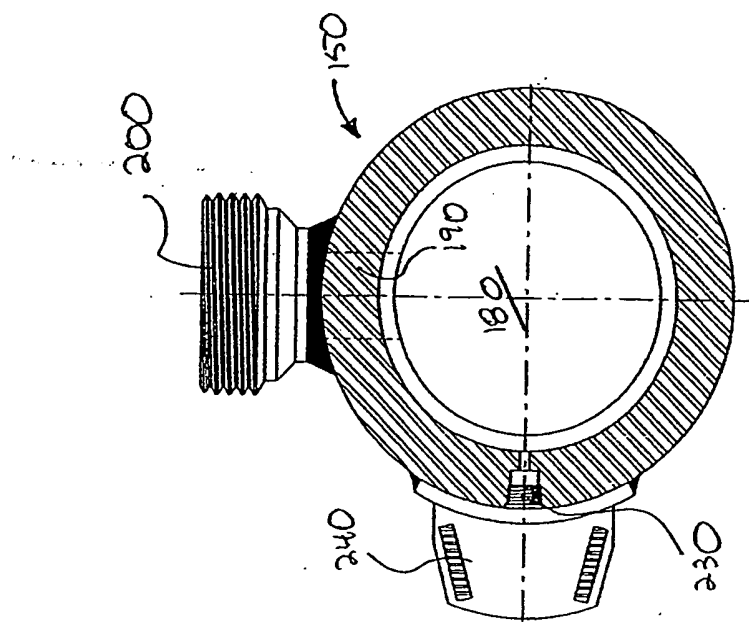


FIG. 5

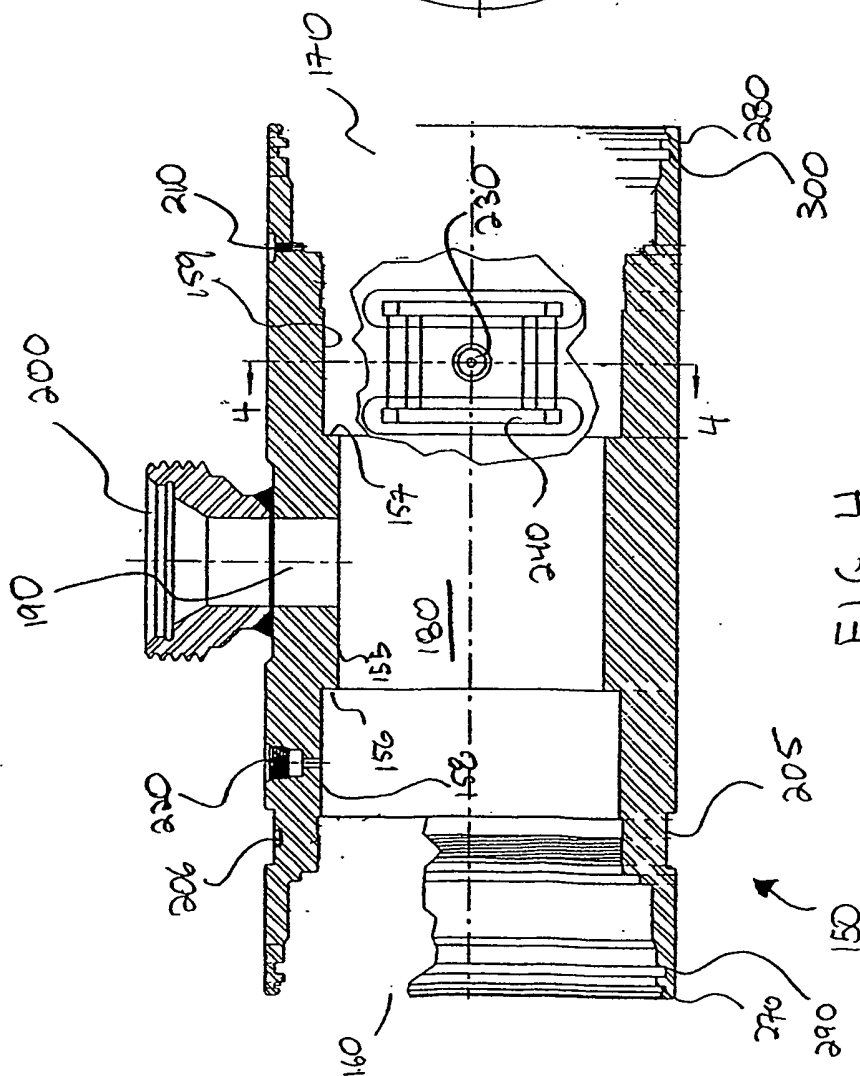
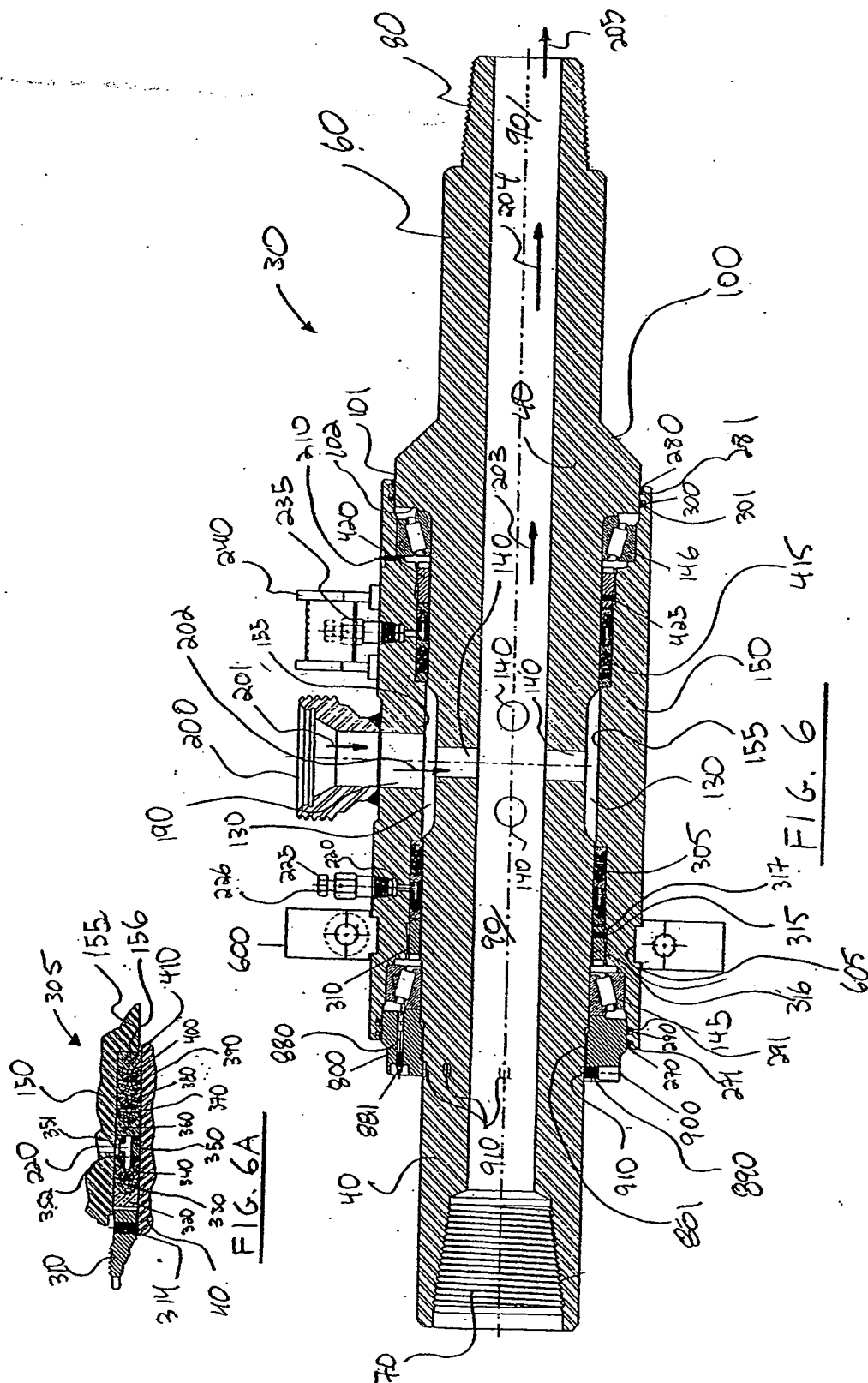
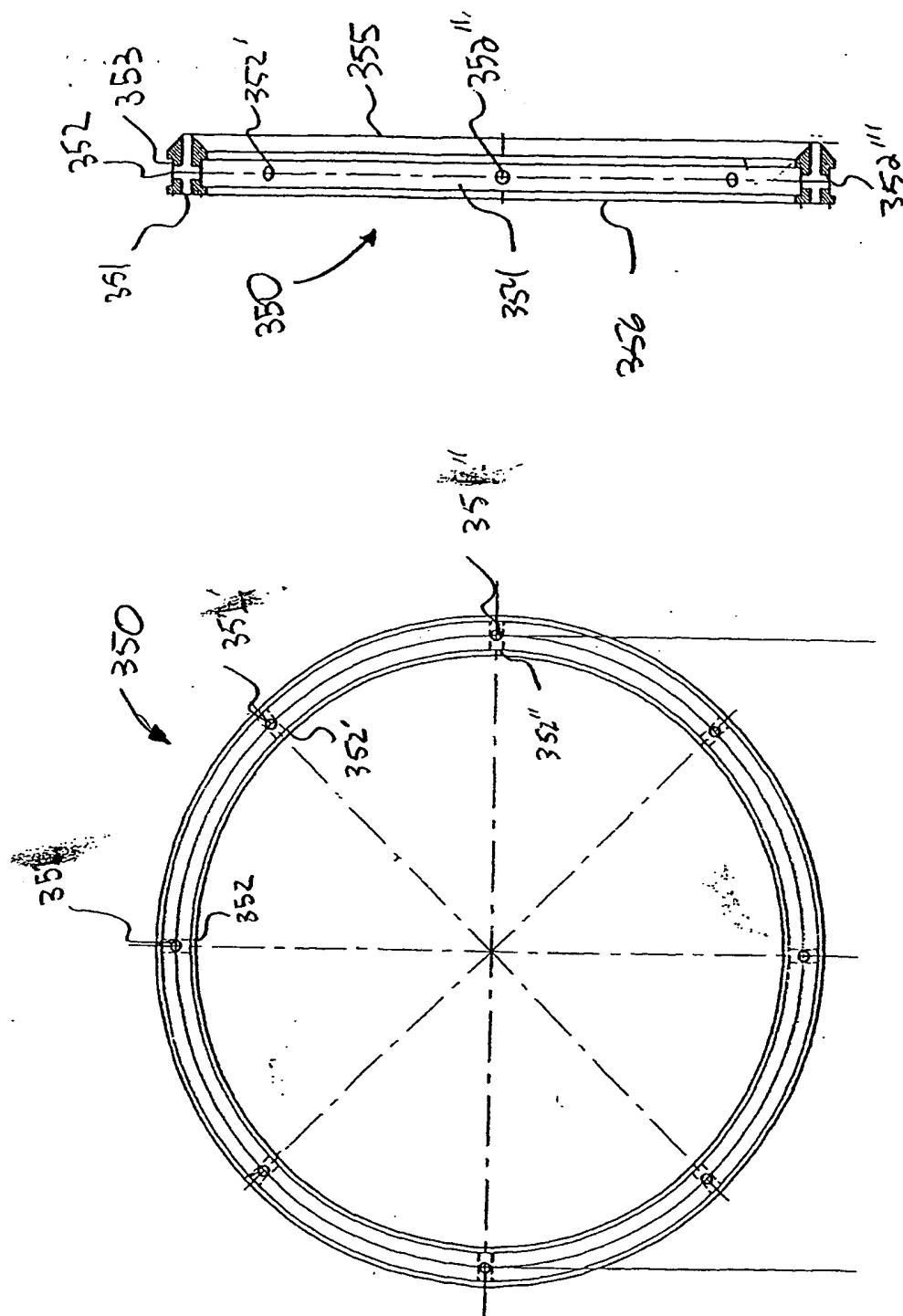


FIG. 4





F16.6C

FIG 6B

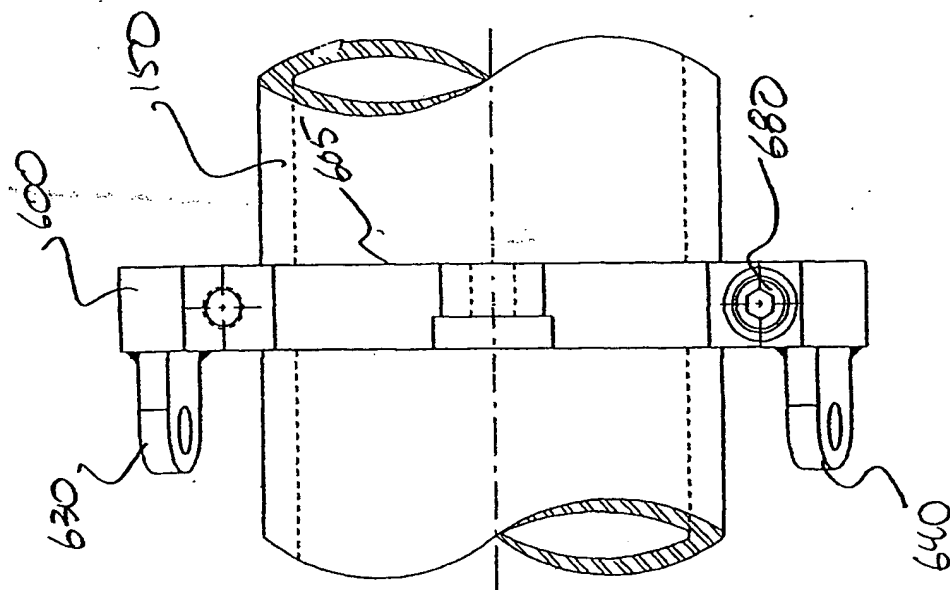


FIG. 8:

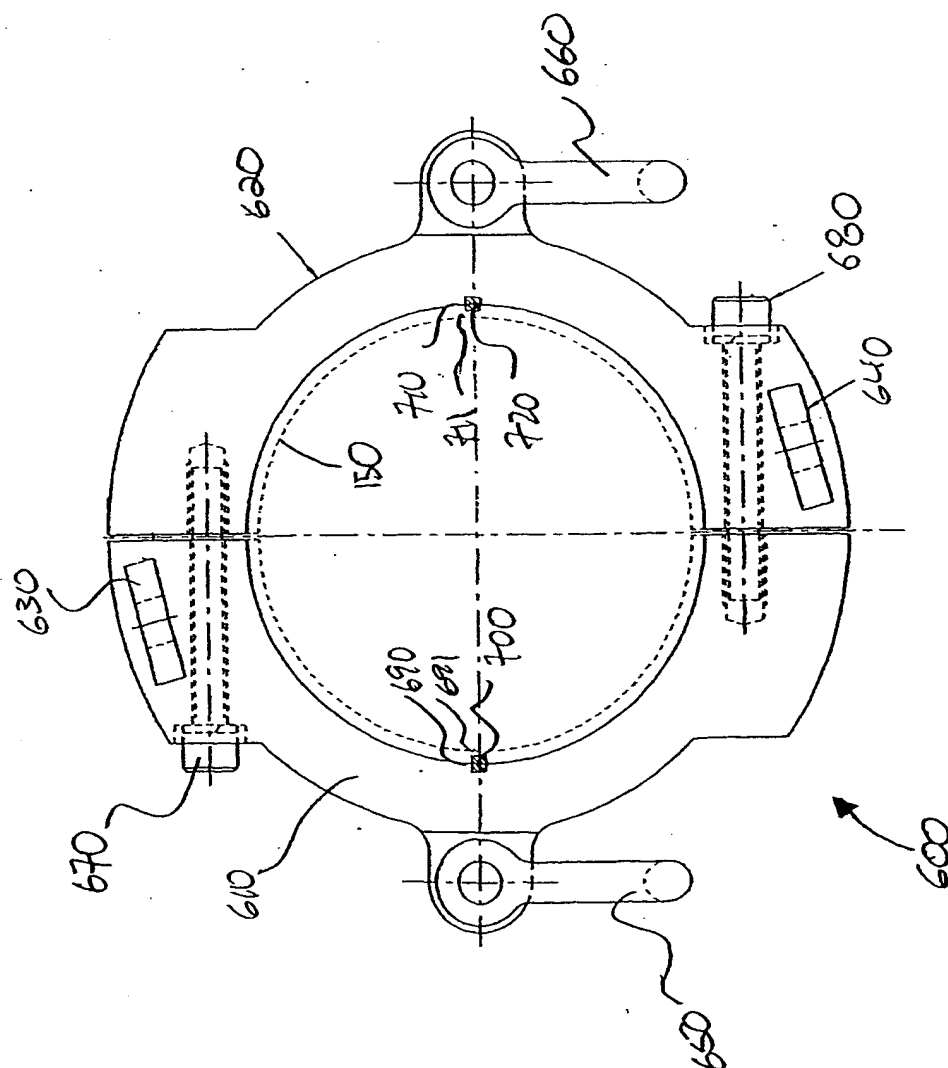
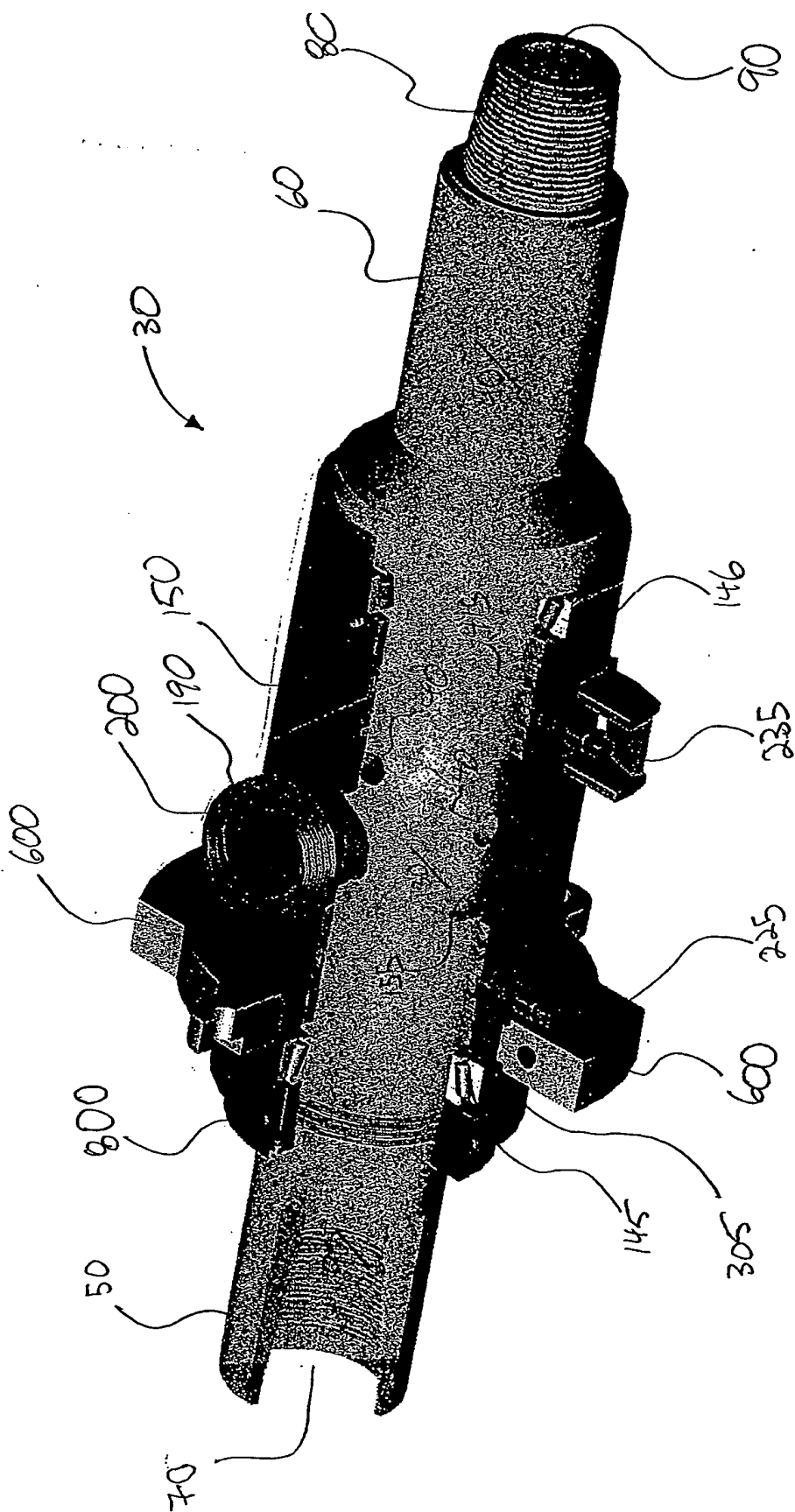


FIG. 7



961

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☒ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)